

# Global Alliance for the Project Professions

A Guiding Framework for

**Leadership in Complexity** 

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The Core Elements of Strategic Alliance Best Practices and ISO44001
have been integrated into Management of Complex Projects

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#### **Foreword**

Faced with volatile, uncertain, complex, and ambiguous (VUCA) environments, governments, individuals, and both public and private sector organisations have become interested in frameworks and guidance that describe competencies required for leading in complexity. This complexity can be associated with dealing with interdependencies and delivery of endeavours in many different contexts including projects, programs, contracts, supply chain, and business as usual across all industries.

The Global Alliance for the Project Professions, formerly known as the Global Alliance for Project Performance Standards (GAPPS) is a volunteer organisation working to create performance based frameworks and other products by providing a forum for stakeholders from differing countries, systems, backgrounds, and operating contexts to work together to address the needs of the global program and project management community.

These frameworks are intended to support the development and recognition of local standards and to provide a sound basis for mutual recognition and transferability of project, program, and other management role-related qualifications.

The GAPPS frameworks are intended to be used by businesses, academic institutions, training providers, professional associations, and government standards and qualifications bodies globally. Frameworks may be used "as is" to speed the development of local standards, or they may be adapted to local needs.

This document is the fifth framework produced by the GAPPS. In 2006 the GAPPS released the first version of A Framework for Performance Based Competency Standards for Global Level 1 and 2 Project Managers. In 2011 the GAPPS released the first version of A Framework for Performance Based Competency Standards for Program Managers. In 2015 the GAPPS released A Guiding Framework for Project Sponsors and in 2019 they produced A Guiding Framework for Project Controls.

Future documents may address other roles involved with projects and programs.

Further information or copies of the frameworks can be found at <a href="https://www.globalpmstandards.org">https://www.globalpmstandards.org</a>

Version	Date	Summary of Changes		
3.00	4 <sup>th</sup> February 2020	WIP Draft document		
3.01	16 <sup>th</sup> May 2020	Amendments agreed at TLF#46		
3.02	4 <sup>th</sup> September 2020	Amendments agreed at TLF#47		
4.01	1 February 2021	Amendments agreed at TLF#49		

# A Guiding Framework for Leadership in Complexity

### 1. Scope

This Guiding Framework is performance based, presented in the form of descriptors of minimum acceptable performance in the workplace. Such descriptors will usually be developed for a specific role. In this case the focus is on the minimum competencies required for anyone endeavouring to get things done in the face of complexity across all types of endeavour and in all roles and contexts. It is intended to complement existing standards, guidelines, and frameworks. The focus is therefore on including only those actions and competencies specifically relevant to leadership in complexity.

The contents of this document may be used "as is" to support your organisation's development processes or to expedite the process of competency descriptions or standards development. They may be tailored to reflect cultural differences or local practice, and they may be used as a baseline to compare, through a mapping process, with other guidelines.

The GAPPS Framework consists of:

- Five units of performance based competency for Leadership in Complexity.
- Supporting material to aid in the application of the Guiding Framework.

This framework follows the format of performance based competency standards and is intended to be used to assess threshold competency — demonstration of the ability to do something at a standard considered acceptable in the workplace. It is applicable to those responsible for Leadership in Complexity in all fields of endeavour including, but not limited to: aerospace, architecture, automotive, biotechnology, construction, defence, design, education, engineering, environment, financial services, government, government contracting, information systems, law, mining, oil and gas, pharmaceuticals, software development, telecommunications and for-purpose or third sector (not-for-profit).

#### 2. Process

Work on a performance or competency based framework for a Leadership in Complexity began in March 2017 at GAPPS Thought Leadership Forum (TLF) No 37 hosted by the Autónoma University and the Portuguese Association of Project Management (APOGEP) in Lisbon.

In November 2017, at GAPPS TLF No 39, GAPPS signed a Memorandum of Understanding with the International Centre for Complex Project Management (ICCPM) which was beginning a review of the Complex Project Manager Competency Standard (Version 4.1 August 2012) for which the copyright is

held by the Commonwealth of Australia (Department of Defence) and ICCPM is the review, update, and authorisation authority. As part of this review, GAPPS offered its assistance and collaborated with ICCPM using the GAPPS from GAPPS TLF No 40 to GAPPS TLF No 45 to conduct the public consultation phase of the review. In addition to the GAPPS TLF events, ICCPM organised six additional workshops between February 2018 and July 2019 (see Appendix C) to progress the work . The result of this joint process was the production of a Work-in-Progress Guiding Framework for Project Leadership in Complexity V2.0. which both organisations have used as the basis for the development of their own end products.

Development of the framework included a review of relevant resources. A list of references is included in Appendix A.

Globally representative and experienced project professionals (see Appendix B) were asked to focus on what practitioners are required to do when leading in complexity. At each of the sessions where leadership in complexity was addressed, the work of previous groups was reviewed and progressed in an ongoing validation process. A list of GAPPS Thought Leadership Forums and other events at which work on the guideline was done is provided in Appendix C.

In early 2020 a review of the document was undertaken by several experienced practitioners and their comments addressed at GAPPS Thought Leadership Forums No 46. In September 2020 an exposure draft was released for public comment and the comments received were addressed at the GAPPS Thought Leadership Forum No 49 in January 2021 prior to publication.

Accepted practice in development of performance based competencies<sup>1</sup> is to seek input from practitioners on what is considered to be minimum acceptable performance in a particular role. Therefore, the process will usually start with a definition of the role. In this case it was agreed that the focus would be on the minimum competencies required for anyone endeavouring to get things done in the face of complexity across all project types and in all contexts. It was intended to complement existing standards, guidelines and frameworks. The focus was therefore on including only those actions and competencies specifically relevant to leadership in **complexity**.

#### 3. Context

Complexity means different things to different people. It is very much in the eye of the beholder and is not a binary concept. There are degrees of complexity. Uncertainty, ambiguity, and the interactions of multiple stakeholders with differing perspectives are sources of complexity. Other sources may be technological, organisational, structural, temporal, environmental, relational, or

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<sup>&</sup>lt;sup>1</sup> Heywood, L., Gonczi, A., & Hager, P. (1992). A Guide to Development of Competency Standards for Professions. Canberra: Australian Government Publishing Service.

social<sup>2</sup>. Perceptions of complexity are influenced by interactions between people and their context. Individual perceptions of difficulty or complexity will be influenced by past experience, personality and confidence, familiarity, novelty, culture and values and the extent to which there is supportive infrastructure.

Distinctions may be drawn between complicatedness and complexity. Essentially, an endeavour may be considered complicated when there is a large number of interconnected and interdependent parts. It becomes complex when the interdependence and interconnectedness of those parts changes in unpredictable ways.

Snowden's Cynefin Framework<sup>3</sup> distinguishes between contexts that may be considered simple, complicated, complex, chaotic, or in a state of disorder. In simple and complicated contexts there may be one or more right answers and it is possible to discern or analyse relationships between cause and effect. In complex contexts there may be no right answers and no clear relationships discernible between cause and effect except perhaps in retrospect. Simple and complicated contexts are amenable to rational, linear and reductionist approaches but complexity is characterised by emergent properties requiring non-linear responses that may include iteration and experimentation. In reality, even endeavours that may be considered simple or complicated may have some level of complexity especially when people are involved and where there are high levels of environmental or technological uncertainty.

A number of tools that can be used for assessing and characterising complexity are provided in Appendix D.

This Guiding Framework has been developed to address the challenges commonly faced when leading in complexity and is not intended or expected to be used in isolation. It may be used in conjunction with other frameworks, guides and standards that address areas such as project management, program management, project controls, change management, risk management, and social responsibility.

A list of frameworks and standards that might be used in conjunction with the Guiding Framework for Leadership in Complexity are included in Appendix E.

<sup>&</sup>lt;sup>2</sup> Williams, T. M. (2002). Modelling complex projects. Wiley; Remington, K., & Pollack, J. B. (2007). Tools for complex projects. Gower.

<sup>&</sup>lt;sup>3</sup> Snowden, D. J., & Boone, M. E. (2007). A Leader's Framework for Decision Making. (Cover story). Harvard Business Review, 85(11), 68–76.

## 4. Performance Based Competency Frameworks

#### 4.1 Overview

This section provides a brief overview of the terminology used when describing performance-based competency for potential users of this document who are not familiar with the topic.

"Competent" comes from the Latin verb *competere* which means "to be suitable." In today's workplace, the term "competent" is generally used to describe someone who is sufficiently skilled to perform a specified task or to fill a defined position — a competent physician, a competent salesperson, a competent plumber. Increasingly, organisations are interested in assessing the competency of individuals in order to guide employment and development decisions.

Broadly speaking, there are two major approaches to defining and assessing competency:

- Attribute based wherein personal attributes such as knowledge, skills, values, attitudes, and other characteristics are identified and assessed. Competency is inferred based on the presence of the necessary attributes.
- *Performance* based wherein work outcomes and performance levels are identified and assessed. Competency is inferred based on the demonstrated ability to satisfy the performance criteria.

Performance Based Competency Standards (PBCS), also called occupational competency standards, are widely used throughout the world and have been developed within the context of government endorsed standards and qualifications frameworks in Australia (Department of Employment, Department of Education, Australian Skills Quality Authority [ASQA]); New Zealand (New Zealand Qualifications Authority [NZQA]); South Africa (South African Qualifications Authority [SAQA]); and the United Kingdom (Standards and Testing Agency [STA]). Although all of these approaches are focused primarily on performance based competency assessment, some approaches do include aspects of attribute based competency assessment.

#### 4.2 Design of the GAPPS Framework

GAPPS uses a PBCS approach which typically addresses at least the following two questions:

- What is usually done in this occupation, profession, role or context by competent performers?
- What standard of performance is usually considered acceptable to infer competency?

In the GAPPS frameworks, these questions are answered by defining:

#### • Units of Competency

A Unit of Competency defines a broad area of professional or occupational performance that is meaningful to practitioners and which is demonstrated by

individuals in the workplace. This GAPPS framework includes 5 Units of Competency.

#### • Elements of Competency

Elements of Competency describe the key components of work performance within a Unit. They describe what is done by individuals in the workplace but do not prescribe how the work is done. For example, project sponsors must "cultivate stakeholder commitment," but they can do this using approaches and tools of their own choice. This GAPPS framework includes a total of 22 Elements of Competency.

#### • Performance Criteria

Performance Criteria set out the type and/or level of performance required to demonstrate competency in each element. They describe observable results and/or actions in the workplace from which competent performance can be inferred. In the GAPPS framework, Performance Criteria can be satisfied in many different ways; there are no mandatory approaches, tools, or methodologies. This GAPPS framework includes a total of 81 Performance Criteria.

#### • Explanatory Statements

Explanatory Statements help to ensure consistent interpretation of the Elements and the Performance Criteria by expanding on critical or significant aspects of them to enable consistent application in different contexts. They also may include a description of a range that may apply to the context of the experience. Where the Explanatory Statements contain lists, the lists are generally illustrative and not exhaustive.

Key terms and definitions used in the descriptions are included in the Explanatory Statements in the Units. Terms are explained the first time they occur within each Unit and are displayed in bold type in subsequent uses. When the context of the use requires further explanation, a term may be repeated.

The Explanatory Statements are fundamental to understanding the described competence as they provide context and clarification for terms and concepts that often lack consistent, accepted definitions.

Although some of the terms and definitions of the GAPPS framework described above differ in some respects from those used for PBCS, the overall approach is consistent and compatible with generally accepted practice within the field of competency development and assessment.

The Performance Criteria in this document focus on threshold performance — demonstration of the ability to do something at a standard considered acceptable in the workplace. They do not measure superior performance — what the best leaders in complexity do. Superior performers should be able to satisfy the threshold criteria without difficulty.

The GAPPS frameworks include the minimum number of Performance Criteria needed to infer competency. As a result, a candidate must satisfy all of the Performance Criteria in the applicable Units in order to be viewed as competent. In addition, the Performance Criteria represent different levels of effort. The number of Performance Criteria in a Unit or Element is not proportional to the

amount of time or effort that an individual must spend in that area to be viewed as competent.

The material in this document can also be used to support learning and development when applied by qualified educators and trainers. In order to provide such support, the GAPPS framework would need to be expanded to address questions such as:

- What skills and knowledge are needed to demonstrate this standard of performance?
- What are the parameters for collecting evidence and assessing performance?

## 5. Application

#### **5.1** Relationship to Existing Frameworks

This document is intended to complement existing competency standards, not to replace them. For example:

- Organisations that have performance based competency standards (e.g., the South African Qualifications Authority [SAQA] in South Africa) may compare (map) their existing standards to the GAPPS framework in order to facilitate comparison.
- Organisations that use attribute based competency assessments (e.g., IPMA International Project Management Association) may choose to supplement their assessments with performance based criteria.

Standards, guides and frameworks that could be used in conjunction with the Guiding Framework for Leadership in Complexity are identified in Appendix D.

#### **5.2** Adoption of this Guiding Framework

GAPPS encourages other organisations to adopt this framework as their own. For example:

- Professional associations that do not currently have assessment frameworks can use it to expedite their ability to serve their members.
- Standards and qualifications bodies can use it to facilitate transferability and mutual recognition of qualifications.
- Public and private organisations can use it to facilitate staff development programs and to help ensure better results from their projects.
- Organisations can use it as a framework from which to develop their own tailored expression of the required competence.

Any entity that adopts the GAPPS framework should use all of the Units, Elements, and Performance Criteria defined here in order to help ensure consistency of application and reciprocity. Additions and modifications, as permitted under the license terms in this document, can be made as appropriate to suit local and regulatory requirements. For example:

- A standards or qualification body may need to modify the structure or terminology to conform to its own conventions or to local culture.
- A private sector organisation may decide to add Elements or Performance Criteria, or to provide further detail in the Explanatory Statements, or specific Evidence Guides, to reflect aspects of performance specific to that organisation.
- Any of the above entities may translate these materials to make them more accessible.

# 6. Overview of Units, Elements, and Performance Criteria

The table below provides a summary of the Units of Competency while the table on the following page provides an overview of the Units, Elements, and Performance Criteria. Details for all are provided in Section 7.

## **6.1 Summary of Units of Competency**

Unit	Title	Description				
	Core Units					
PLC01	Think Holistically This unit defines the Elements required to think holistically. It includes the Performance Criteria required to demonstrate competency in applying systems thinking approaches when responding to emergence and systemi opportunities and threats.					
PLC02	Exercise Personal Mastery	This unit defines the Elements required to exercise personal mastery. It includes the Performance Criteria required to demonstrate competency in self-awareness, resilience, openness to new ideas and ways of thinking and ability to act, that are required to provide leadership in complexity.				
PLC03	Provide Conditions to Enable Decisions and Action	decisions and action in complexity. It includes the Performance Criteria required to demonstrate competency in maintaining strategic direction, acting sustainably, setting minimal rules, and establishing a data				
PLC04	Respond to the Environment					
PLC05	Engage Collaboratively	This unit defines the Elements required for collaborative engagement. It includes the Performance Criteria required to demonstrate competency in fostering collaborative communication, working towards shared vision and meaning, and developing a collaborative and engaged culture.				

Figure 6.1: Summary of Units of Competency

# 6.2 Summary of Units, Elements, and Performance Criteria

Units	Elements	Performance Criteria	
		<ul><li>1.1.1 Contextual sensitivity is applied in all aspects of the endeavour.</li><li>1.1.2 Appreciation that issues and endeavours can be seen from multiple different perspectives is demonstrated.</li></ul>	
	1.1 Apply systems thinking approaches.	1.1.3 <b>Systems thinking approaches</b> are selected and applied to fit the problem context.	
		1.1.4 System contexts, boundaries, and interfaces are <b>considered</b> throughout the lifecycle of the endeavour.	
		1.1.5 Systems approaches are used to analyse and manage impact and implications of proposed <b>changes</b> .	
LC01	1.2 Understand and plan for	1.2.1 Appreciation of the <b>consequences</b> of <b>dynamic interdependence</b> between systems informs understanding and decision-making.	
Think Holistically	emergence.	1.2.2 Attention is given to <b>weak signals</b> .	
Hollstically		1.2.3 Capacity and capability are built to respond to <b>emergence</b> .	
		1.3.1 Uncertainty, opportunities and threats are assessed from <b>multiple perspectives</b> .	
	1.3 Manage systemic opportunities and threats.	1.3.2 <b>Emergent opportunities</b> are evaluated and prioritised relative to resource availability and capability.	
		1.3.3 <b>Systemic interaction</b> of opportunities and threats is analysed for potential impact.	
	and threats.	1.3.4 Potential for low probability, high impact events is <b>investigated</b> .	
		1.3.5 Decision-making and action are driven by a systemic vision of the proposed outcomes of the endeavour.	
	1.2 Maintain a	2.1.1 A <b>positive</b> outlook is maintained.	
	resilient and open attitude.	2.1.2 <b>Resilience</b> is demonstrated.	
		2.1.3 Discovery and <b>insight</b> are driven by <b>curiosity.</b>	
		2.2.1 Openness to different and conflicting views is exhibited.	
	2.2 Apply cognitive	2.2.2 <b>Self-awareness</b> and <b>reflective ability</b> are demonstrated.	
LC02	flexibility.	2.2.3 Personal behaviour is modified based on awareness of the impact on others.	
Exercise		2.3.1 <b>Authentic</b> appreciation is expressed.	
Personal Mostowy	2.3 Lead with	2.3.2 Trust is cultivated and employed responsibly and proactively.	
Mastery	sensitivity.	2.3.3 Support is offered.	
	2.4 Take	2.3.4 Leadership behaviours are tailored to the situation.	
		2.4.1 Experience and judgement are deployed to determine when action or inaction are appropriate.	
	informed action.	2.4.2 Problems and issues are dealt with or retired.	
		2.4.3 Persuasion is used effectively to advance the endeavour.	

Figure 6.2. Summary of Units, Elements, and Performance Criteria (continued next page)

Units	Elements	Performance Criteria	
	2174	3.1.1 Influence and persuasion are used strategically and with integrity for the benefit of the endeavour.	
	3.1 Maintain strategic direction.	3.1.2 Validity of the <b>business case</b> is monitored and maintained throughout the life cycle.	
	un cetton.	3.1.3 Decision-making and action are driven by a <b>systemic</b> vision of the proposed outcomes of the endeavour.	
		3.2.1 Attention is given to <b>impact</b> of decisions and actions on society, the environment, and the process and end product of the endeavour.	
		3.2.2 Commitment is made to transfer of knowledge for the advancement of capability in the community.	
	3.2 Act sustainably.	3.2.3 A culture is developed to support wellbeing of teams and individuals in the face of complexity.	
		3.2.4 Teams are actively managed to benefit from diversity.	
		3.2.5 <b>Conflict</b> is approached openly, <b>strategically</b> and creatively.	
		3.2.6 Genuine <b>commitment</b> to and <b>focus</b> on the endeavour are demonstrated.	
LC03 Provide		3.3.1 In setting up the organisation for the endeavour, consideration is given to creation of conditions that enable <b>resilience</b> , self organisation, and timely decision making.	
Conditions to Enable		3.3.2 Governance and structure are iteratively reviewed and adapted.	
Decisions and Action		3.3.3 Multiple governance and ethical requirements are acknowledged and addressed.	
		3.3.4 Level of complexity, uncertainty and stakeholder maturity are considered in selecting project strategy, delivery methodology and contracting forms.	
	3.4 Establish data	3.4.1 Data <b>needs</b> are assessed.	
		3.4.2 Data is ethically collected, verified, and shared.	
	framework.	3.4.3 Data is validated, secured, and integrated across systems.	
		3.5.1 <b>Control systems</b> acknowledge complexity and are tailored to suit the endeavour.	
	3.5. 3.5 Establish control	3.5.2 A <b>review</b> and <b>assurance</b> process is designed and implemented to fit the complexities of the endeavour.	
	systems to leverage	3.5.3 Audits and <b>reviews</b> are used as opportunities for continuous performance improvement.	
	knowledge.	3.5.4 External parties are involved in <b>review</b> processes to ensure that multiple perspectives are acknowledged.	
		3.5.5 <b>Knowledge centres</b> within and without the endeavour are identified, encouraged, empowered, and connected.	

Figure 6.2. Summary of Units, Elements, and Performance Criteria (continued next page)

Units	Elements	Performance Criteria		
		4.1.1 Flexibility is demonstrated in working in a volatile, uncertain, complex and ambiguous (VUCA) environment.		
	4.1 Build responsive	4.1.2 <b>Planning</b> allows for <b>emergence</b> and iterative progression.		
	processes.	4.1.3 Concepts are <b>tested</b> prior to commitment.		
		4.1.4 <b>Organisational capability</b> is developed to support <b>resilience</b> in a VUCA environment.		
	4.2 Plan resourcing	4.2.1 <b>Team composition</b> is aligned with the stage or phase of the endeavour.		
	for flexibility.	4.2.2 A <b>flexible</b> resource plan is developed that enables current and emergent needs to be balanced and addressed across the lifecycle of the endeavour.		
	4.3 Review	4.3.1 Constraints and <b>assumptions</b> are identified, challenged and renegotiated throughout the lifecycle.		
LC04	assumptions,	4.3.2 The history of the endeavour is investigated to inform future decision-making and action.		
Respond to the	implications of	4.3.3 Influence of <b>bias</b> is understood and <b>addressed</b> .		
Environment	action.	4.3.4 Interaction of regulatory environments is managed.		
		4.3.5 Implications of <b>complexity</b> are identified and assessed.		
		4.4.1 Feedback is used to question and revise approach.		
	4.4 Continuously review complexity	4.4.2 Periodic and continuous feedback is utilised to maintain focus on achievement of <b>evolving goals</b> .		
	and direction.	4.4.3 Types and levels of complexity and their relative implications are identified and assessed at <b>key stages</b> of the endeavour using <b>contextually relevant frameworks</b> .		
		4.5.1 <b>Data</b> is leveraged to drive decision making.		
	4.5 Use data and	4.5.2 A data strategy appropriate to the scope and environment is <b>employed.</b>		
	prototyping to test and validate ideas.	4.5.3 Alternative approaches are used for testing and proof of concept prior to commitment.		
		4.5.4 Data is used to harvest insights for improved performance and innovation.		
	5.1 Develop a collaborative and	5.1.1 A <b>dynamic collaborative approach</b> amongst stakeholders is fostered and maintained.		
		Stakeholders are actively and <b>strategically</b> engaged to advance achievement of objectives.		
	engaged culture.	5.1.3 <b>Multiple, diverse and cross-boundary</b> contributors to resourcing are engaged and influenced to build commitment.		
		5.1.4 Cultural norms, boundaries and rules are <b>challenged</b> to progress the endeavour.		
	5.2 Nurture	5.2.1 <b>Deliberate</b> effort is applied to establishing and sustaining relationships.		
	relationships and teams.	5.2.2 <b>Wellbeing</b> and <b>resilience</b> of team members is actively monitored and supported.		
Y 60-		5.3.1 <b>Active listening</b> is used when engaging with stakeholders.		
LC05 Engage	5.3 Foster	5.3.2 <b>Communications</b> are intentional, ambitious, consistent, collaborative and accountable.		
Collaboratively	collaborative	5.3.3 <b>Informed</b> advice is sought.		
	communication.	5.3.4 A culture that supports and encourages open communication, innovation and creativity at all levels of the endeavour is <b>promoted</b> .		
		5.3.5 <b>Expectations</b> are identified and managed.		
	5.4 Appreciate diverse perspectives	5.4.1 A deep understanding of <b>key</b> stakeholders and their perspectives is developed and refreshed.		
	F. SPITTION	5.4.2 Contribution of <b>diverse</b> views of stakeholders is <b>leveraged</b> .		
	55 W 14	5.5.1 Appreciation of <b>complexity</b> is shared.		
	5.5 Work towards shared vision and	5.5.2 Shared meaning amongst stakeholders is fostered to build momentum for change.		
	purpose.	5.5.3 A compelling and <b>meaningful</b> vision of the endeavour's future is <b>communicated.</b>		

Figure 6.2. Summary of Units, Elements, and Performance Criteria

# 7. Detail of Units, Elements, and Performance Criteria

The following pages detail the Units, Elements, and Performance Criteria of this framework. They are presented using the format illustrated below in Figure 7.0, which includes descriptive comments in place of actual content.

LC0x	Unit Title		
Unit Descriptor	A Unit of Competency defines a broad area of professional or occupational performance that is meaningful to practitioners and which is demonstrated by individuals in the workplace.		

#### LCOx List of Elements in this Unit

- x.1 Elements describe the key components of work performance within a Unit.
- x.2 Elements describe *what* is done but do not prescribe *how* it is done.

# LCOx Performance Criteria and Explanatory Statements

x.1 Elements describe the key components of work performance within a Unit.

Performance Criteria		Explanatory Statements		
x.1.1	<b>Performance criteria</b> set out the type and/or level of performance required to demonstrate competency in each element.	a. b.	Explanations are provided for key words and phrases in the elements or the <b>performance criteria</b> .  The explanatory statements provide guidance for both Assessors and for the individuals being assessed.	
x.1.2	Performance criteria describe observable results and/or actions in the workplace from which competent performance can be inferred.			

Figure 7.0. Illustration of presentation format for Units, Elements, and Performance Criteria

# 7.1 Detail of Units, Elements, and Performance Criteria

The following pages detail the Units, Elements, and Performance Criteria of this framework.

LC01	Think holistically	
Unit Descriptor	This unit defines the Elements required to think holistically.	
	It includes the Performance Criteria required to demonstrate competency in applying systems thinking approaches when responding to emergence and systemic opportunities and threats.	

# **LC01** List of Elements

- 1.1 Apply systems thinking approaches.
- 1.2 Understand and plan for **emergence**.
- 1.3 Manage systemic opportunities and threats.

#### LC04 Element 1

1.1 Apply systems thinking approaches.

#### **Performance Criteria**

- 1.1.1 **Contextual** sensitivity is applied in all aspects of the endeavour.
- 1.1.2 **Appreciation** that issues and endeavours can be seen from multiple different perspectives is demonstrated.
- 1.1.3 **Systems thinking approaches** are selected and applied to fit the problem context.
- 1.1.4 System contexts, boundaries, and interfaces are considered throughout the lifecycle of the endeavour.
- 1.1.5 Systems approaches are used to analyse and manage impact and **implications** of proposed **changes**.

#### **Explanatory Statements**

- a. Contextual sensitivity would include questioning of assumptions, being alert to weak signals, political awareness, paying attention and responding to trends, discrepancies, interdependencies and dynamic interrelationships. It would also include attention to cultural dimensions of the endeavour including stakeholder relationships, organisational constraints and spoken and unspoken rules, and taking this into account when designing processes, communications and meeting strategies.
- b. Appreciation includes understanding and acceptance that different stakeholders will have their own view of the endeavour and use of a range of approaches and techniques for reaching accommodation or agreement between these differing perspectives. This may also include encouraging the team to move away from thinking that there is one right solution to understanding and acceptance of multiple possibilities.
- c. Systems thinking approaches may include Soft Systems Thinking (SSM), Critical Systems Heuristics (CSH), System Dynamics, Viable Systems Model, Strategic Options Development Analysis (SODA), other management science and problem structuring methods.
- d. Implications of proposed changes may include levels of resistance to change, the scale and impact of the proposed change, the pace of change, stakeholder understanding of the need for change and the degree and level of support and championship for the change. Reference should be made to Change Management Standards eg <a href="https://www.change-management-institute.com/competency-model">https://www.change-management-institute.com/competency-model</a>
- e. **Changes** will include required behavioural, societal, cultural and other changes.

# LC01 Element 2

1.2 Understand and plan for emergence.					
Performance Criteria	Explanatory Statements				
1.2.1 Appreciation of the consequences of dynamic interdependence between systems informs understanding and decision-making.  1.2.2 Attention is given to weak signals.  1.2.3 Capacity and capability are built to respond to emergence.	<ul> <li>a. Thinking holistically about the endeavour will assist in early identification of consequences of action and interaction and the positive or negative impacts this may have on the endeavour.</li> <li>b. Dynamic interdependence refers to the interactions between parts of a system that in complexity are characterized by emergence and unexpected consequences. A complex system is more than the sum of its parts.</li> <li>c. Weak signals are indicators of potentially emerging issues that may, over time, have positive or negative impacts on the endeavour.</li> <li>d. Emergence occurs when parts combine or interact</li> </ul>				
	in unusual and unexpected ways resulting in properties, patterns or behaviours that the parts do not have on their own. It includes the concept of radical novelty arising seemingly out of nowhere where there may be simultaneous states of stability and instability, far from equilibrium.				

LC04	LC04 Element 3			
1.3	1.3 Manage systemic opportunities and threats.			
Perfo	rmance Criteria	Exp	planatory Statements	
1.3.1	Uncertainty, opportunities and threats are assessed from <b>multiple perspectives</b> .	a. b.	Manage includes identification  Multiple perspectives refers to the differing	
1.3.2	Emergent opportunities and threats are evaluated and prioritised relative to resource availability and capability.		worldviews of stakeholders and includes consideration of the impact of boundaries and boundary judgements. Consideration of multiple	
1.3.3	<b>Systemic interaction</b> of opportunities and threats is analysed for potential impact.		and divergent worldviews can be used to enhance understanding.	
1.3.4	Potential for low probability, high impact events is <b>investigated</b> and <b>addressed</b> .	c.	Emergent opportunities and threats may arise from unforeseen events. They may include	
1.3.5	Decision-making and action are driven by a systemic vision of the proposed outcomes of	d.	opportunities for innovation.  Systemic interaction refers to the potential	

3.3	Decision-making and action are driven by a		opportunities for mine value.
	systemic vision of the proposed outcomes of	d.	Systemic interaction refers to the potential
	the endeavour.		systemic relationship between risks and with other
			parts of the system that may cause unintended
			consequences and positive or negative impacts that
			may not be identified by traditional approaches to
			risk identification.

- e. **Investigated** includes use of appropriate tools and approaches, being mindful of sufficiency of data and limitations of traditional tools such as game theory and Monte Carlo analysis.
- f. Addressed may include setting aside of contingency or management reserve which may be in the form of time, labour, money, or other resources. It may also involve preparation of stakeholders and management of expectations.

LC02	Exercise Personal Mastery
Unit Descriptor	This unit defines the Elements required to exercise personal mastery.  It includes the Performance Criteria required to demonstrate competency in self-awareness, resilience, openness to new ideas and ways of thinking and ability to act, that are required to provide leadership in complexity.

# LC02 List of Elements

- 2.1 Maintain a resilient and open attitude.
- 2.2 Apply cognitive flexibility.
- 2.3 Lead with sensitivity.
- 2.4 Take informed action.

# LC02 Element 1

2.1 Maintain a resilient and open attitude.

2.1 Maintain a resilient and open attitude.		
Performance Criteria	Explanatory Statements	
<ul> <li>2.1.1 A positive outlook is maintained.</li> <li>2.1.2 Resilience is demonstrated.</li> <li>2.1.3 Discovery and insight are driven by curiosity.</li> </ul>	<ul> <li>a. A positive outlook is defined as a constructive approach to everything that occurs. It will include but is not limited to approaching threats and issues as opportunities, reality based optimism, and remaining undaunted in the face of adversity. A positive outlook should inspire others and encourage followership.</li> <li>b. Resilience refers to the ability to continue or recover quickly from setbacks and challenges.</li> <li>c. Curiosity involves authentic and active interest and inquisitiveness that encourages use of probing questions that get to the root or cause of a situation or problem. This promotes a culture of discovery that leads to insights and learning.</li> <li>d. Insight enables deep understanding of a situation including the motivational forces behind actions, thoughts, and behaviours.</li> </ul>	

# LC02 Element 2

2.2 Apply cognitive flexibility.

2.2 Apply cognitive healthing.		
Performance Criteria	Explanatory Statements	
2.2.1 Openness to different and conflicting views is exhibited.	a. <b>Self awareness</b> and <b>reflective ability</b> may be demonstrated by recognition of one's own abilities	
2.2 <b>Self-awareness</b> and <b>reflective ability</b> are demonstrated.	and limitations, learning from mistakes, pursuing opportunities for growth, accepting responsibility,	
2.2.3 <b>Personal behaviour</b> is <b>modified</b> based on awareness of the impact on others.	admitting error and responding constructively.  b. <b>Personal behaviour</b> that impacts on others may	
awareness of the impact on others.	include managing one's own time, making timely decisions, having sufficient strength of ego to allow others to take credit.	
	c. <b>Modification</b> of behaviour is underpinned by reflective ability and cognitive flexibility.	

# LC02 Element 3

2.3 Lead with sensitivity.

Zie Zeud With Schistering.		
Performance Criteria	Explanatory Statements	
<ul> <li>2.3.1 Authentic appreciation is expressed.</li> <li>2.3.2 Trust is cultivated and employed responsibly and proactively.</li> <li>2.3.3 Support is offered.</li> <li>2.3.4 Leadership behaviours are tailored to the situation.</li> </ul>	<ul> <li>a. Authentic means that the appreciation is genuine and meaningful to the recipient.</li> <li>b. Support may include mentoring, coaching and advising teams and individuals and providing conditions and culture that empower them to take initiative and responsibility, make decisions and take action. It may also include mediation.</li> </ul>	

LCO	2 Element 4		
2.4	2.4 Take informed action.		
Performance Criteria		Explanatory Statements	
2.4.2	Experience and judgement are deployed to determine when action or inaction are appropriate.  Problems and issues are dealt with or retired.  Persuasion is used effectively to advance the endeavour.		

LC03	Provide conditions to enable decisions and action
Unit Descriptor	This unit defines the Elements required to provide conditions that enable decisions and action in complexity.
	It includes the Performance Criteria required to demonstrate competency in maintaining strategic direction, acting sustainably, setting minimal rules, and establishing a data management framework and control systems that leverage knowledge and acknowledge and enable action in complexity.

# **LC03** List of Elements

- 3.1 Maintain strategic direction.
- 3.2 Act sustainably.
- 3.3 Set minimal rules to enable action.
- 3.4 Establish data management framework.

and maintained throughout the life cycle.

systemic vision of the proposed outcomes of

3.1.2 Decision-making and action are driven by a

the endeavour.

3.5 Establish control systems to leverage knowledge.

LC03 Element 1			
3.1 Maintain strategic direction.			
Performance Criteria	Explanatory Statements		
3.1.1 Influence and persuasion are used strategically and with integrity for the benefit of the endeavour.	a. In complexity, the <b>business case</b> should be consistently reviewed in the light of contextual or strategic changes. There should be sufficient		
3.1.2 Validity of the <b>business case</b> is monitored	flexibility to modify direction if justified.		

b. **Systemic** refers to taking into account everything that relates to or affects an entire system.

#### Element 2 LC03

3.2	3.2 Act sustainably.			
Perfo	rmance Criteria	Exp	Explanatory Statements	
3.2.1	Attention is given to <b>impact</b> of decisions and actions on society, the environment and the process and end product of the endeavour.	a.	In considering <b>impact</b> , reference may be made to the UN Sustainable Development Goals - <a href="https://www.un.org/sustainabledevelopment/sustainable-development-goals/">https://www.un.org/sustainabledevelopment/sustainable-development-goals/</a>	
3.2.2	Commitment is made to transfer of knowledge for the advancement of capability in the community.	b.	Some form of <b>conflict</b> is inevitable in complex endeavours where there are several paths forward. Conflict can be used constructively in a reflective	
3.2.3	A culture is developed to support wellbeing of teams and individuals in the face of complexity.		or exploratory way to inform decisions about future action and can encourage creativity and innovation.	
3.2.4	Teams are actively managed to benefit from diversity.	c.	A <b>strategic</b> approach to conflict may involve concessions that enable longer term achievement	
3.2.5	<b>Conflict</b> is approached openly, <b>strategically</b> and creatively.		of goals. It may include ensuring that influential viewpoints are identified and explored.	
3.2.6	Genuine <b>commitment</b> to and <b>focus</b> on the endeavour are demonstrated.	d.	<b>Commitment</b> may be demonstrated by visible championship of the endeavour, providing a model of positive engagement for the team and showing confidence in their ability.	
		e.	<b>Focus</b> on the goals of the endeavour is maintained regardless of setbacks or distractions.	

#### Element 3 LC03

3.3	3.3 Set <b>minimal</b> rules to enable action.			
Performance Criteria		Exp	Explanatory Statements	
3.3.1	In setting up the organisation for the endeavour, consideration is given to creation of conditions that enable <b>resilience</b> , self organisation and timely decision making.  Governance and structure are iteratively reviewed and adapted.	a. b.	Minimal refers to provision of as much structure and governance as is fit for purpose, enabling decisions and action to be taken.  Multiple governance and ethical requirements will need to be addressed and acknowledged in complex endeavours involving different	
3.3.3	Multiple governance and ethical requirements are acknowledged and addressed.		organisations and cultures.	
3.3.4	Level of complexity, uncertainty and stakeholder maturity are considered in selecting project strategy, delivery methodology and contracting forms.			

LC03 Element 4	
3.4 Establish data management framework	
Performance Criteria	Explanatory Statements
<ul> <li>3.4.1 Data needs are assessed.</li> <li>3.4.2 Data is ethically collected, verified and shared.</li> <li>3.4.3 Data is validated, secured and integrated across systems.</li> </ul>	a. <b>Systems</b> refers to different operating systems and data sources. Both systems and sources should be secured. Data may be drawn from different sources and systems.

# LC03 Element 5

3.5 Establish control systems to leverage knowledge.

5.5 Establish control systems to leverage knowledge.				
Performance Criteria		Exp	Explanatory Statements	
	Control systems acknowledge complexity and are tailored to suit the endeavour.  A review and assurance process is designed and implemented to fit the complexities of the endeavour.	a.	Control systems define the processes used to ensure achievement of objectives by establishing a baseline plan, confirming the control basis, metrics and assumptions, identifying deviations and recommending corrective actions. (Refer. GAPPS	
3.5.3	for continuous performance improvement.  3.5.4 External parties are involved in <b>review</b> processes to ensure that multiple perspectives are acknowledged.		(2019) A Guiding Framework for Project Controls Sydney: Global Alliance for the Project Professions).	
3.5.4		b.	In complexity, <b>review</b> and <b>assurance</b> may not be predetermined processes and should evolve to	
3.5.5			respond to emergence in the endeavour and its environment. Periodic and continuous feedback should be utilised to maintain focus on achievement of evolving goals. Data and artificial intelligence may have potential to assist in this process.	
		c.	Critical decisions are subject to <b>review</b> including review of consequences and implications and amendment if required.	
		d.	<b>Knowledge centres</b> are environments or interactions where information and knowledge are exchanged and created. Such centres may occur at the level of teams or networks.	

LC04	Respond to the environment
Unit Descriptor	This unit defines the Elements required to respond to evolving internal and external environments.
	It includes the Performance Criteria required to demonstrate competency in sensing and responding to volatile, uncertain, complex and ambiguous (VUCA) environments.

# **LC04** List of Elements

- 4.1 Build responsive processes.
- 4.2 Plan resourcing for flexibility.
- 4.3 Review assumptions, constraints and implications of action.
- 4.4 Continuously review complexity and direction.
- 4.5 Use data and prototyping to test and validate ideas.

# LC04 Element 1

4.1 Build responsive processes.

4.1 Build responsive processes.				
Performance Criteria		Exp	planatory Statements	
	Flexibility is demonstrated in working in a volatile, uncertain, complex and ambiguous (VUCA) environment.  Planning allows for emergence and iterative progression.	a.	Flexibility may include the ability to hold ambiguity rather than seek immediate resolution and certainty, to appreciate that there may not be one right answer to a particular problem, and to be able to change direction if necessary.	
	Concepts are <b>tested</b> prior to commitment. <b>Organisational capability</b> is developed to	b.	<b>Environment</b> refers to internal and external factors that affect the endeavour.	
	support <b>resilience</b> in a VUCA environment.	c.	In a complex endeavour, <b>planning</b> needs to take into account the changing nature of the environment and the potential for non-linear and recursive behaviour. <b>Emergence</b> is defined earlier in this table under 1.2.1.	
		d.	Tested includes approaches such as pilots, prototyping, feasibility analysis, experiment, design thinking, user centred design, user acceptance testing, stakeholder acceptance, modelling. This may be done iteratively throughout the endeavour and applies to decisions and solutions.	
		e.	Organisational capability involves having the systems and processes in place to proactively prevent or prepare for critical events. This may include a risk management, crisis management, business continuity, incident or other management plan. Refer ISO 22301 - BCM; 22316 Org resilience; 22320 Emergency Management; 22330 BCM people aspects. Such capability may already be in place in the organisation and should be aligned with any relevant regulatory requirements. Resilience refers to the ability to withstand, recover or bounce back quickly from or adjust easily to change, setbacks or difficult conditions.	

LC04	LC04 Element 2				
4.2 Plan resourcing for flexibility.					
Performance Criteria Explanatory Sta			lanatory Statements		
	<b>Team composition</b> is aligned with the stage or phase of the endeavour.  A flexible resource plan is developed that enables current and emergent needs to be	a.	<b>Team composition</b> should take into consideration the differing requirements for skills, knowledge and personality types throughout the endeavour.		

of the endeavour.

balanced and addressed across the lifecycle

# LC04 Element 3

4.3 Review assumptions, constraints and implications of action.

т.Э	4.3 Review assumptions, constraints and <b>implications of action</b> .				
Performance Criteria		Explanatory Statements			
	Constraints and <b>assumptions</b> are identified, challenged and renegotiated throughout the lifecycle.	a.	Implications of action may include unintended consequences and may be surfaced by use of simulations, systemic cause / effect modelling,		
4.3.2	The history of the endeavour is investigated to inform future decision-making and action.	b.	pre-mortems, peer reviews and other approaches. <b>Assumptions</b> need to be surfaced, clarified,		
4.3.3	Influence of <b>bias</b> is understood and <b>addressed</b> .		shared, questioned and challenged on an ongoing basis as they underpin worldviews and influence		
4.3.4	<ul><li>4.3.4 Interaction of regulatory environments is managed.</li><li>4.3.5 Implications of complexity are identified and assessed.</li></ul>		decisions and actions. In complex endeavours there is a high probability of conflicting assumptions and constraints that may interact in		
4.3.5			ways that will have a compounding effect.		
and assessed.	c.	<b>Bias</b> may include over confidence, over optimism, availability (including limitations of expert opinion / judgement, denial), and others.			
		d.	<b>Addressed</b> may include ensuring a wide range of perspectives, and use of reflective, participatory, conversational and other techniques.		
		e.	Sources of <b>complexity</b> may include: Political/Policy (e.g. change of government; change of organisational strategy); Economic (e.g. local or global financial crisis); Social (e.g. community backlash); Technological (e.g. change in technology); Environmental (e.g. discovery of harm to wildlife); Legal (e.g. new/changed legislation).		

# LC04 Element 4

4.4	4.4 Continuously review complexity and direction.		
Performance Criteria		Explanatory Statements	
4.4.1	Feedback is used to question and revise assumptions and approach.	a.	<b>Evolving goals</b> should include benefits and their realization.
4.4.2	Periodic and continuous feedback is utilised to maintain focus on achievement of	b.	<b>Key stages</b> include at the initiation and start of the project.
4.4.3	evolving goals.  Types and levels of complexity and their relative implications are identified and assessed at key stages of the endeavour using contextually relevant frameworks.	c.	Contextually relevant frameworks for identifying and assessing complexity of the endeavour may include GAPPS CIFTER (2007); GAPPS ACDC (2011); IPMA [https://www.ipma.world/individuals/certification/complexity/][1]); and others referred to in Appendix D.

#### Element 5 **LC04**

4.5 Use data to inform response.					
Performance Criteria	Explanatory Statements				
<ul> <li>4.5.1 <b>Data</b> is leveraged to drive decision making.</li> <li>4.5.2 A data strategy appropriate to the scope and environment is <b>employed</b>.</li> </ul>	<ul> <li>a. Data may include preventive or predictive analytics, machine learning or artificial intelligence (AI).</li> <li>b. Employed includes support for planning,</li> </ul>				
4.5.3 Alternative <b>approaches</b> are used for testing and proof of concept prior to commitment.	reporting, decision-making and control.				
4.5.4 Data is used to harvest insights for improved performance and innovation.	c. Approaches may include pilots, prototyping, feasibility analysis, experiment, modelling. This would be done throughout the project. This applies to decisions and solutions throughout the project. Prototyping may include checking for fitness for purpose and stakeholder acceptance and may be associated with innovation and design thinking processes. It would apply to all types of endeavour including technical and social. Pilot projects would be considered a form of prototyping.				

LC05	Engage collaboratively
Unit Descriptor	This unit defines the Elements required for collaborative engagement.
	It includes the Performance Criteria required to demonstrate competency in fostering collaborative communication, working towards shared vision and meaning, and developing a collaborative and engaged culture.

# **LC05** List of Elements

- 5.1 Develop a collaborative and engaged culture.
- 5.2 Nurture relationships and teams.
- 5.3 Foster collaborative communication.
- 5.4 Appreciate diverse perspectives
- 5.5 Work towards shared vision and purpose.

# LC05 Element 1

5.1 Develop a collaborative and engaged culture.

5.1 Develop a conabolative and engaged culture.				
Performance Criteria		Explanatory Statements		
<ul><li>5.1.1</li><li>5.1.2</li><li>5.1.3</li><li>5.1.4</li></ul>	A dynamic collaborative approach amongst stakeholders is fostered and maintained.  Stakeholders are actively and strategically engaged to advance achievement of objectives.  Multiple, diverse and cross boundary contributors to resourcing are engaged and influenced to build commitment.  Cultural norms, boundaries and rules are challenged to progress the endeavour.	<ul> <li>a. Stakeholder coherence is a key challenge in complexity involving creation of a common understanding amongst stakeholders with differ worldviews. Fostering a dynamic collaborativ approach amongst stakeholders will involve understanding of multiple perspectives and their underpinning assumptions and working with the to achieve a shared view. This will be an iterative process that interacts with the changing environment throughout the lifecycle of the endeavour.</li> <li>b. A collaborative approach is important in complex endeavours as the complexity can lead the breakdown of relationships leading to confluence and competing positions that adversely affect the endeavour. It may be necessary to develop a strategic and economic rationale for taking a collaborative approach.</li> <li>c. Strategic and active engagement of stakeholder may include identifying and involving particular stakeholders to provide influence and support in the interests of the endeavour.</li> <li>d. Multiple, diverse, and cross boundary contributors to resourcing will span different supply chains, organisational and jurisdictional boundaries, as well as the boundaries defined be the endeavour.</li> <li>e. Challenge will recognise the value laden nature boundary judgements and decisions, cultural ar ethical norms and organisational rules both spo and unspoken.</li> </ul>	e e ir esse ve e e e e e e e e e e e e e e e e	

# LC05 Element 2

5.2	5.2 Nurture relationships and teams.			
Perfo	rmance Criteria	Explanatory Statements		
	<b>Deliberate effort</b> is applied to establishing and sustaining relationships.	a. <b>Deliberate effort</b> will include understanding the foundations and drivers of relationships,		
5.2.2	Wellbeing and resilience of team members is actively monitored and supported.	establishing common goals, building relationshi with thought and purpose, resolving issues collaboratively in a timely manner, setting share expectations, establishing trust and ensuring that decisions and actions are consistent with the principles of the relationship.	ed	
		b. <b>Wellbeing</b> includes ensuring the psychological and physical safety of all team members.		
		c. <b>Resilience</b> refers to the ability to withstand, recover or bounce back quickly from or adjust easily to change, setbacks or difficult conditions	s	

# LC05 Element 3

5.3 Foster collaborative communication.						
Perfo	rmance Criteria	Explanatory Statements				
5.3.1 5.3.2 5.3.3 5.3.4 5.3.5	Active listening is used when engaging with stakeholders.  Communications are intentional, ambitious, consistent, collaborative and accountable.  Informed advice is sought.  A culture that supports and encourages open communication, innovation and creativity at all levels of the endeavour is promoted.  Expectations are identified and managed.	<ul> <li>a. Active listening involves positive engagement with another person, listening attentively while they speak, paraphrasing and reflecting back what is said, and withholding judgment and advice so that the other person feels heard and valued. It includes empathy and willingness to help.</li> <li>b. Communications refers to all forms of communication within and relating to the endeavour both formal and informal. Communications should be tailored to suit the audience and processes should be in place to assess their effectiveness. In complex endeavours an important aspect of communication is the ability to present complex issues in a clear and compelling manner so that important messages are conveyed and received.</li> <li>c. Informed advice will include seeking many external views and interpretations, advice from 'experts,' those with relevant experience, team members, internal and external networks.</li> <li>d. Promoted may include providing opportunities and rewards for creativity, and support for multiple innovation initiatives, within an energetic, no blame, fast fail environment on the basis that in complex endeavours, iterating fast failures will achieve a desired result faster than perfecting a solution.</li> <li>e. Expectations of stakeholders are likely to differ in accordance with their perspectives and worldviews relative to the endeavour. These expectations need to be understood and managed. It is important to be aware of one's own expectations as they may consciously or unconsciously influence the expectations of others.</li> </ul>				

LC05 Element 4				
5.4	Appreciate diverse perspectives			
Perfo	rmance Criteria	Explanatory Statements		
5.4.1	A deep understanding of <b>key stakeholders</b> and their perspectives is developed and refreshed.  Contribution of <b>diverse views</b> of stakeholders is <b>leveraged</b> .	usually by potentially negative, o	holders are those that are identified, some form of stakeholder analysis, as having the greatest impact, positive or on the achievement of the goals of the the organisation and the wider	
		favour of the addition to cultures, proquestioning assist in elimeters.	he ws may include those who are in the project and those who are opposed in those of stakeholders from different rofessions and interest groups. Open g and ensuring psychological safety can iciting diverse views.  I implies using the diverse views of the rs for the benefit of the endeavour	

LC05 Element 5				
5.5 Work towards shared vision and purpose.				
Performance Criteria	Explanatory Statements			
<ul> <li>5.5.1 Appreciation of complexity is shared.</li> <li>5.5.2 Shared meaning amongst stakeholders is fostered to build momentum for change.</li> <li>5.5.3 A compelling and meaningful vision of the endeavour's future is communicated.</li> </ul>	<ul> <li>a. Demonstrable effort is taken to ensure that all stakeholders share an understanding of the nature and level of complexity of the endeavour.</li> <li>b. A meaningful vision is one that is credible, achievable, tangible, sustainable, and inspirational, which articulates value to stakeholders.</li> <li>c. Communication of the vision should be an ongoing process involving regular review and restatement as required to connect with particular audiences.</li> </ul>			

## **APPENDICES**

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  <a href="https://iccpm.com/sites/default/files/kcfinder/files/Resources/CPM%20Competency%20Standardmemory.">https://iccpm.com/sites/default/files/kcfinder/files/Resources/CPM%20Competency%20Standardmemory.</a>
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#### APPENDIX C: GAPPS TLFS AND WORKING SESSIONS

This is a list of the GAPPS Thought Leadership Forums (TLF) and other events at which work was done towards development of this Guiding Framework.

A number of the events in addition to GAPPS TLFs were organised by the International Centre for Complex Project Management with whom GAPPS collaborated during the development process.

GAPPS Thought Leadership Forums	Working Sessions
Lisbon, GAPPS TLF#37	Sydney, Working Session
22-23 March 2017	15 February 2018
London, GAPPS TLF#38 26-27 June 2017	Canberra, Working Session 26 October 2018
Singapore, GAPPS TLF#39 10-11 November 2017	Sydney, Working Session 5 November 2018
Vienna, GAPPS TLF#40 23/24 February 2018	Sydney, Working Session 7 May 2019
<b>Delft, GAPPS TLF#41</b> 29-30 June 2018	Virtual, Working Session 16 May 2019
Bali, GAPPS TLF#42 23-24 November 2018	Canberra, Working Session 23 July 2019
Hungary, GAPPS TLF #43 22-23 March 2019	
Lancaster, GAPPS TLF #44 21-22 June 2019	
Mexico, GAPPS TLF#45 23-24 September 2019	
Sydney, GAPPS TLF#46 7-8 February 2020	
Virtual, GAPPS TLF#47 19-20 May 2020	
Virtual, GAPPS TLF#48 8-9 September 2020	
Virtual, GAPPS TLF#49 20-21 February 2021	

#### APPENDIX D: TOOLS FOR DIAGNOSING COMPLEXITY

#### The Goals and Methods Matrix

The goals and methods matrix shown below is a good place to start. It is simple and easy to understand. A Type 1 endeavour may be considered simple and Type 4 most complex as it has neither well defined goals or well defined methods. However, few endeavours are only one type or another. Most endeavours will have components that can be categorised as different types and many endeavours will start as Type 4 and then be broken down into sub-endeavours and tasks with different characteristics.



Turner & Cochrane (1993), Goals-and-methods matrix: coping with projects with ill defined goals and /methods of achieving them. International Journal of Project Management, 11, 93-112 and Obeng, E. (1994). All Change! The Project Leader's Secret Handbook. Pitman Publishing.

#### **GAPPS CIFTER**

The CIFTER factors identify causes of management complexity in projects and other endeavours. The Table provides a relatively simply and easily understood basis for categorising endeavours and therefore selecting the appropriate leader based on their demonstrated competence.

https://globalpmstandards.org/tools/complexity-rating/project-complexity/

	Management Complexity Factor Descriptor and Points					
1.	Stability of the overall project context	Very high (1)	High (2)	Moderate (3)	Low or Very low (4)	
2.	Number of distinct disciplines, methods, or approaches involved in performing the project	Low or Very low (1)	Moderate (2)	High (3)	Very high (4)	
3.	Magnitude of legal, social or environmental implications from performing the project	Low or very low (1)	Moderate (2)	High (3)	Very high (4)	
4.	Overall expected financial impact (positive or negative) on the project's stakeholders)	Low or very low (1)	Moderate (2)	High (3)	Very high (4)	
5.	Strategic importance of the project to the organisation or organisations involved	Very low (1)	Low (2)	Moderate (3)	High or very high (4)	
6.	Stakeholder cohesion regarding the characteristics of the product of the project	High or Very high (1)	Moderate (2)	Low (3)	Very low (4)	
7.	Number and variety of interfaces between the project and other organisational entities	Very low (1)	Low (2)	Moderate (3)	High or Very high (4)	

#### **GAPPS ACDC**

The ACDC was developed for categorisation of programs based on their management complexity. It provides a mechanism for matching competency to need by identifying the factors that affect the program manager's challenge.

Aitken-Carnegie-Duncan Complexity Table for Program Manager Role Definition

	Program Manager Role Defini	tion					
	Program Management Complexity Factors		Criteria for a Rating of:				
	Program Management Complexity Factors	1	2	3	4		
	Governance Complexity						
1	Sponsorship support.	Consistently strong	Usually strong	Occasionally strong	Seldom strong		
2	Program management structures.	Mostly	A few	Some	Many		
3	Decision-making processes within the program. T	simple Little to no	complex Variability in	complex Variability in	complex Variability in		
		variability Total to	some areas Extensive	many areas Moderate	most areas Limited		
4	Program manager's authority.	almost total	Extensive	Wilderate	Limited		
	Stakeholder Relationship Complex		1				
5	Stakeholder stability over time.	Very high	High	Moderate	Low or very low		
6	Degree of public interest in program.	Very low	low	Moderate	High or very high		
7	Degree of cultural diversity.	Very low	low	Moderate	High or very high		
8	Percent of staff able to converse fluently in program's primary language.	90-100%	50-89%	20-49%	Less than 20%		
9	Number of languages used in conducting program activities.	One	2-3	4-5	More than 5		
10	Number of active locations requiring overnight stay for meetings.	1-3	4-5	6-7	More than 7		
11	Range of time zones with active stakeholders.	1-3 hours	4-6 hours	7-9 hours	More than 9 hours		
	Program Definition Complexity			•			
12	Agreement regarding the desired future state.	High or very high	Moderate	low	Very low		
13	Level of fluidity in desired future state.	Very low	low	Moderate	High or very high		
14	Clarity of expected benefits.	High or very high	Moderate	low	Very low		
15	Stakeholder expectations regarding benefits.	Most clearly stated	Many clearly stated	Some clearly stated	Few clearly stated		
16	Interdependency of benefits.	Very low	Low	Moderate	High to very high		
17	Degree of competing stakeholder interests.	Very low	low	Moderate	High to very high		
	Benefits Delivery Complexity	•		•			
18	Assessment of benefits delivered.	Simple for most	Simple for many	Simple for some	Simple for a few		
19	Amount of cultural and behavioural change required within the sponsoring organization.	Very low to low	Moderate	High	Very high		
20	Impact on other work of the sponsoring organisation.	Very low	low	Moderate	High to very high		
21	Demand for innovation in constituent projects.	Very low	low	Moderate	High to very high		
22	Management complexity of constituent projects.	Very low	low	Moderate	High to very high		
23	Stability of methods and approaches used in constituent projects.	All or most are known	Many are known	Some are known	Only a few are known		
24	Magnitude of overall program risk.	Very low	Low	Moderate	High to very		
	Resource Complexity				gi		
25	Availability of capable people.	Mostly assured	Usually assured	Occasionally assured	Seldom assured		
26	Availability of adequate funding.	Mostly	Usually	Occasionally	Seldom		
	, , ,	assured Mostly	assured Usually	assured Occasionally	assured Seldom		
27	Availability of suitable equipment.	assured	assured	assured	assured		
28	Availability of suitable supplies and materials.	Mostly assured	Usually assured	Occasionally assured	Seldom assured		
29	Number of independent funding sources.	1	2-5	6-10	More than 10		
		•	•	•			

**ACDC Table Factors** 

### **IPMA Complexity Sheet**

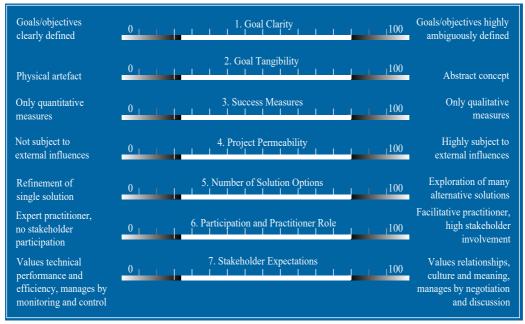
The International Project Management Association (IPMA) has developed a Complexity Sheet for evaluating project management complexity for the IPMA certification process https://www.ipma.world/individuals/certification/complexity/ and here to download the spreadsheet https://www.pma.at/de/service/downloads

- The Complexity Sheet evaluates the complexity of a project.
  For each selected complex project listed in the application form (Item 6) as well as for the co

Certification level	В		Each indicator is rate	d according to four level	of pro	oject management in a project. Implexity (4 = very high complexity, 3 = high complexity, 2 = low complexity, 1 =		
Candidate (Last name, first name)			very low complexity). Please fill in a comm					
Project								
		Description						
Criteria	Significant		ion of criteria  Limited complexity			Comments/justification		
	complexity very high (4)	complexity high (3)	complexity low (2)	complexity very low (1)	onjey			
Objectives, Assessment of Results     Mandate and objective	uncertain, vague			→ defined, obvious		Ness connect ratings		
Conflicting objectives Transparency of mandate and objectives	many conflicts			few conflicts				
Interdependence of objectives Number and assessment of results		<b>-</b>		quite independent low, monodimensional				
Rating	0	•	0	0	3			
2. Interested Parties, Integration					_	Pleas content ratings		
Interested parties, lobbies Categories of stakeholders	numerous parties +			few uniform categories				
Stakeholder Interrelations Power/Interests of involved parties	unknown relations + divergent interests +			nd well known relations comparable interest				
Tomornament of involved pursue	-			Comparation marrow				
Rating	0	•	0	0	3	Please connect ratings		
Cultural and social context     Diversity of context	diverse +			> homogeneous				
Cultural variety Geographic distances	multicutural, unknown distant, distributed +	•		uniform, well known close, concentrated				
Social span	large, demanding			small, easy to handle				
Rating	0	•	0	0	3			
Degree of innovation, general conditions     Technological degree of innovation	unknown technology	4		and proven technology		Nees connect ratings		
Demand of creativity Scope for development	innovative approach darge			repetitive approach limited				
Significance on public agenda	large public interest	4		<ul> <li>public interest low</li> </ul>				
Rating	0	•	0	0	3			
Project structure, demand for coordination     Structures to be coordinated	numerous structures			> few structures		Please comment ratings		
Demand of coordination Structuring of phases	demanding, elaborate overlapping, simultaneo			simple, straighforward sequential				
Demand for reporting	multidimensional, comp			i-dimensional, common				
Rating	0	•	0	0	3			
Project organisation     Number of interfaces	many <del>&lt;</del>			→ fow		Please comment ratings		
Demand for communication Hierarchical structure	indirect, demanding, ma multidimensional, matri			ot demanding, uniform				
Relations with permanent organisations	intensive mutual relation			few relations				
Rating	0	•	0	0	3			
7. Leadership, teamwork, decisions Number of sub-ordinates	many, large control spa			few, small control span		Please commert ratings		
Team structure Leadersship style	dynamic team structure adaptive and variable	+		static team structure				
Decision-making processes	many important desiclo			few important decisions				
Rating	0	•	0	0	3			
8. Ressources Incl. finance				b. suellable luccor		Please control ratings		
Availability of people, material, etc. Financial resources	uncertain, changing many investors and kin		<ul> <li>one investor and f</li> </ul>	→ available, known few kinds of resources				
Capital investment Quantity and diversity of staff	large (relative to project high <del>&lt;</del>	or use same kind) ****	ow (relative to pri	oject of the same kind)  low				
Rating	0	•	0	0	3			
9. Risk and opportunities						Pleas connect ratings		
Predictability of risks and opportunities Risk probability, significance of impacts	low, uncertain 4 high risk potential, large		low ri	<ul> <li>high, quite certain sk potential, low impact</li> </ul>				
Potential of opportunities Options for action to minimise risks	limited options for action large potential of opport	unities +		any options for actions stantial of opportunities				
Rating	0	•	0	0	3			
10. PM methods, tools and techniques						Please connect ratings		
Variety of methods and tools applied Application of standards	numerous, manifold few common standards			fow, simple on standards applicable				
Availability of support Proportion of PM to total project work		<b>4</b>	much support available					
Rating	0	•	0	0	3			
Total complexity value		project is appropriate t			30	0		
,	Executive Summa					Version 1.0/November 2017		
next step:	Executive Summa	ry Report IPMA Le	vel B			Version 1.0/November 2017		

#### Hard and Soft Continuum

Crawford and Pollack's Hard and Soft Continuum provides a way of analysing the characteristics of an endeavour at a point of time. The assessment is entirely subjective, offering a framework for discussion and shared understanding of the complexity of endeavour as a basis for action. The higher the score, the higher the level complexity.



Crawford, L. H., & Pollack, J. B. (2004). Hard and soft projects: A framework for analysis. *International Journal of Project Management*, 22(8), 645–653.

#### **Shenhar and Dvir's Diamond Model**

Shenhar, Aaron., & Dvir, D. (2007). Reinventing project management: The diamond approach to successful growth and innovation. Harvard Business School Press.

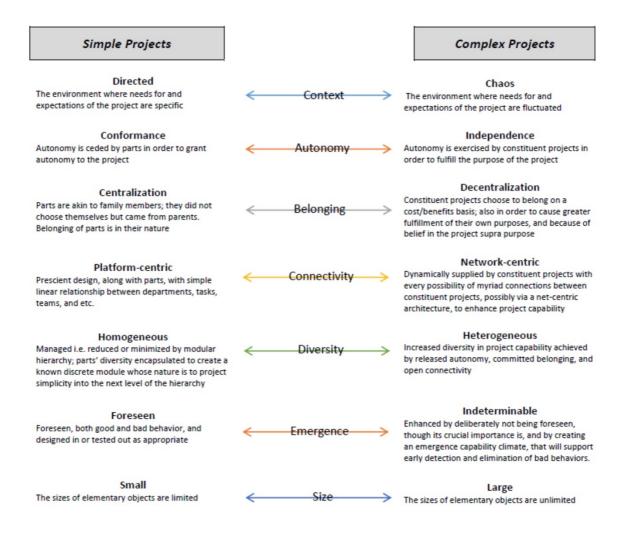
#### **The Complexity Assessment Tool**

Maylor, Turner and Murray-Webster's (2013) Complexity Assessment Tool (CAT)was developed to assist in early identification of complexities so they can be managed to minimize negative impact. The 32 statements are intended to apply to a broad range of endeavours but may be tailored to specific circumstances. The authors recommend using the Tool in a facilitated discussion to develop a shared understanding of the complexity of the endeavour.

Areas	of complexity	Do you agree with	Do you expect this
	tructural Complexity (1–21)	this statement?	situation to remain
	ociopolitical Complexity (22–32)	(Y/N)	stable (i.e., NOT to
• Er	mergent Complexity (defined by expectations for stability)		change)? (Y/N)
Structu	ral Complexity		
1	The vision and benefits for the work can be clearly articulated.		
 2	Success measures for the work can be defined in agreement with the client.		
3	The technology is familiar to us.		
4	The commercial arrangements are familiar to us.		
<u>.</u> 5	The scope can be well defined.		
6	Acceptance criteria for quality and regulatory requirements can be well defined.		
<del>-</del> 7	A schedule and resource plan can be well defined.		
8	The supply chain is in place.		
9	Lines of responsibility for tasks and deliverables can be defined.		
10	Accurate, timely, and comprehensive data reporting is possible.		
11	Existing management tools can support the work.		
12	Sufficient people with the right skills are available.		
13	Managers have adequate control of human resources (i.e., direct reporting).		
14	Key people are wholly allocated to the work.		
15	Integration across multiple technical disciplines is not required.		
16	The budget is sufficient for the task.		
17	The budget can be used flexibly.		
18	The work will be carried out in a single country/time zone/language/currency.		
19	The work is independent of other projects and business-as-usual operations.		
20	The pace is achievable.		
21	Resources (e.g., test facilities, equipment) will be available when needed.		
Sociop	olitical Complexity		
22	The work has clear sponsorship consistent with its importance.		
23	The business case for the work is clear.		
24	The goals for the work align with the organization's strategy.		
25	Your own senior management supports the work.		
26	Team members are motivated and function well as a team.		
27	Managers are experienced in this kind of work.		
28	The work involves no significant organizational/cultural change.		
29	The work will be unaffected by significant organizational/cultural change.		
30	The external stakeholders (i.e., not immediate team members) are aligned, supportive, and committed to the project and have sufficient time for the work.		
31	The external stakeholders (i.e., not immediate team members) have a realistic, shared understanding of the implications of the work.		
32			

Maylor, H. R., Turner, N. W., & Murray-Webster, R. (2013). How Hard Can It Be?: Actively Managing Complexity in Technology Projects. *Research-Technology Management*, 56(4), 45–51.

#### Bakhshi et al: Simple versus Complex characteristics



Bakhshi, J., Ireland, V., & Girod, A. (2016). Clarifying the project complexity construct: Past, present and future. *International Journal of Project Management*, 34(7), 1199-1213.

## APPENDIX E: FRAMEWORKS AND STANDARDS FOR USE IN CONJUNCTION WITH THIS GUIDING FRAMEWORK

The GAPPS Guiding Framework for Leadership in Complexity may be used for many different types of endeavours. In developing the framework an effort has been made only to include actions and behaviours that may not be covered in other relevant guides and standards or where a particular aspect, relevant to complexity would benefit from being highlighted.

The following organisations and websites provide related resources that may be used in conjunction with the GAPPS Guiding Framework for Leadership in Complexity. These resources are indicative only. Many other resources may also be relevant.

#### **GAPPS**

https://globalpmstandards.org

Guiding frameworks for:

- Project Managers
- Program Managers
- Project Sponsors
- Project Controls
- Management complexity of projects (CIFTER) and Programs (ACDC)

#### **IPMA**

https://www.ipma.world/individuals/standard/

The IPMA suite of standards including the:

- Individual Competency Baseline (ICB)
- Project Excellence Baseline (PEB)
- Organisational Competency Baseline (OCB)

#### **PMI**

https://www.pmi.org/pmbok-guide-standards/foundational

The PMI suite of standards and guides including:

- Foundational Standards
  - A Guide to the Project Management Body of Knowledge (PMBOK® Guide)
  - The Standard for Risk Management in Portfolios, Programs, and Projects
  - The Standard for Organizational Project Management
  - The PMI Guide to Business Analysis
  - The Standard for Program Management Fourth Edition
  - The Standard for Portfolio Management Third Edition
- Practice Standards
  - Practice Standard for Project Estimating Second Edition
  - Practice Standard for Scheduling Third Edition
  - Practice Standard for Work Breakdown Structures Third Edition
  - Practice Standard for Project Risk Management
  - Practice Standard for Project Configuration Management

- Practice Guides
  - Agile Practice Guide
  - Benefits Realization Management
  - Requirements Management: A Practice Guide
  - Governance of Portfolios, Programs, and Projects: A Practice Guide
  - Business Analysis for Practitioners: A Practice Guide
  - Implementing Organizational Project Management: A Practice Guide
  - Managing Change in Organizations: A Practice Guide)

### ISO TC 258 Standards on PPPM: The ISO 21500 Family

https://www.iso.org/standards.html

https://www.iso.org/committee/624837.html

- ISO 21500:2012 Project, Programme and Portfolio Management –
- Guidance on Project Management (revised edition in production)
- ISO 21503:2017 Project, Programme and Portfolio Management –
- Guidance on Programme Management
- ISO 21504:2015 Project, Programme and Portfolio Management –
- Guidance on Portfolio Management
- ISO 21505:2017 Project, Programme and Portfolio Management –
- Guidance on Governance
- Terminology and supporting standards
  - ISO TR 21506:2018 Project, Programme and Portfolio Management Terminology
  - ISO 21508:2018 Earned value Management in Project and Programme Management
  - ISO 21511:2018 Work breakdown structures for Project and Programme Management

#### WEBSITES

AACE (Association for the Advancement of Cost Engineering) <a href="https://web.aacei.org/">https://web.aacei.org/</a>

ACEI (Association of Consulting Engineers of Ireland) - <a href="https://www.acei.ie/selection-criteria">https://www.acei.ie/selection-criteria</a>

Agile, SAFE (Scaled Agile Framework) - https://www.scaledagileframework.com

AIPM (Australian Institute of Project Management) https://www.aipm.com.au/home

APM (Association for Project Management, the Chartered Body for the Project Profession. https://www.apm.org.uk/

ASQA (Australian Skills Quality Authority) https://www.asqa.gov.au/

AXELOS Global Best Practice Portfolio - https://www.axelos.com/best-practice-solutions

Change Management Institute (CMI) <a href="https://www.change-management-institute.com">https://www.change-management-institute.com</a> has it Change Management Body of Knowledge (CMBOK) <a href="https://www.change-management-institute.com/cmbok">https://www.change-management-institute.com/cmbok</a>

AAMA International (2017) DAMA DI

DAMA International (2017). DAMA-DMBOK (2nd Edition): Data Management Body of Knowledge (Second edition). Technics Publications.

https://www.dama.org/cpages/body-of-knowledge

GPM P5 Standard – <a href="https://greenprojectmanagement.org/the-p5-standard">https://greenprojectmanagement.org/the-p5-standard</a>

ICEC (International Cost Engineering Council) – <a href="http://www.icoste.org/">http://www.icoste.org/</a>

IIBA (International Institute of Business Analysts) has produced the Business Analysis Body of Knowledge (BABOK® Guide) - https://www.iiba.org/

ISACA (The Information Systems Audit and Control

Association) <a href="https://www.isaca.org/">https://www.isaca.org/</a> provides the COBIT standard for IT

Governance <a href="https://www.isaca.org/resources/cobit">https://www.isaca.org/resources/cobit</a>

ISO 8000 series global standard for Data Quality and Enterprise Master Data. <a href="https://www.iso.org/standards.html">https://www.iso.org/standards.html</a>

ISO 44001:2017. Collaborative business relationship management systems — Requirements and framework - addresses key practices that enable stakeholders to work together more effectively in complex organizational relationships <a href="https://www.iso.org/standard/72798.html">https://www.iso.org/standard/72798.html</a> National standards such as - Australian National Standards and Standards Australia

https://www.standards.org.au/ and other national members of ISO-

Occupational Standards – Health, Medical, Legal, Accounting, IT, Automotive (refer to ISO headings <a href="https://www.iso.org/management-system-standards-list.html">https://www.iso.org/management-system-standards-list.html</a>

PMAJ (The PM Association of Japan (PMAJ) provides the Guidebook for Program and Project Management - P2M

(https://www.pmaj.or.jp/ENG/p2m/p2m\_guide/p2m\_guide.html)

Praxis Framework, bringing together a body of knowledge, methodology, competence framework and capability maturity model in a single integrated framework with a single structure and terminology. <a href="https://www.praxisframework.org/">https://www.praxisframework.org/</a>

PROSCI, A Change Management Office Primer

https://www.prosci.com/resources/articles/change-management-office-primer and https://www.ipma.world/change-management-office-cmo-enabling-change-readiness-in-organisations/

SAQA (South African Qualification Authority) <a href="http://www.saqa.org.za/">http://www.saqa.org.za/</a>

DAMA International (2017). DAMA-DMBOK (2nd Edition): Data Management Body of Knowledge (Second edition). Technics Publications. <a href="https://www.dama.org/cpages/body-of-knowledge">https://www.dama.org/cpages/body-of-knowledge</a>